



UK Research
and Innovation

UKRI Open Access Policy for longform publications

Information and good practice sharing event

8 November 2023

Note: this deck includes information presented at the webinar, with some additional previously published policy details. Before the end of November, we will be updating the guidance and resources on our website the new information presented. This will include an updated [information slide pack](#) for research organisations to use to engage researchers.

Agenda

Session 1: UKRI presentation and Q&A

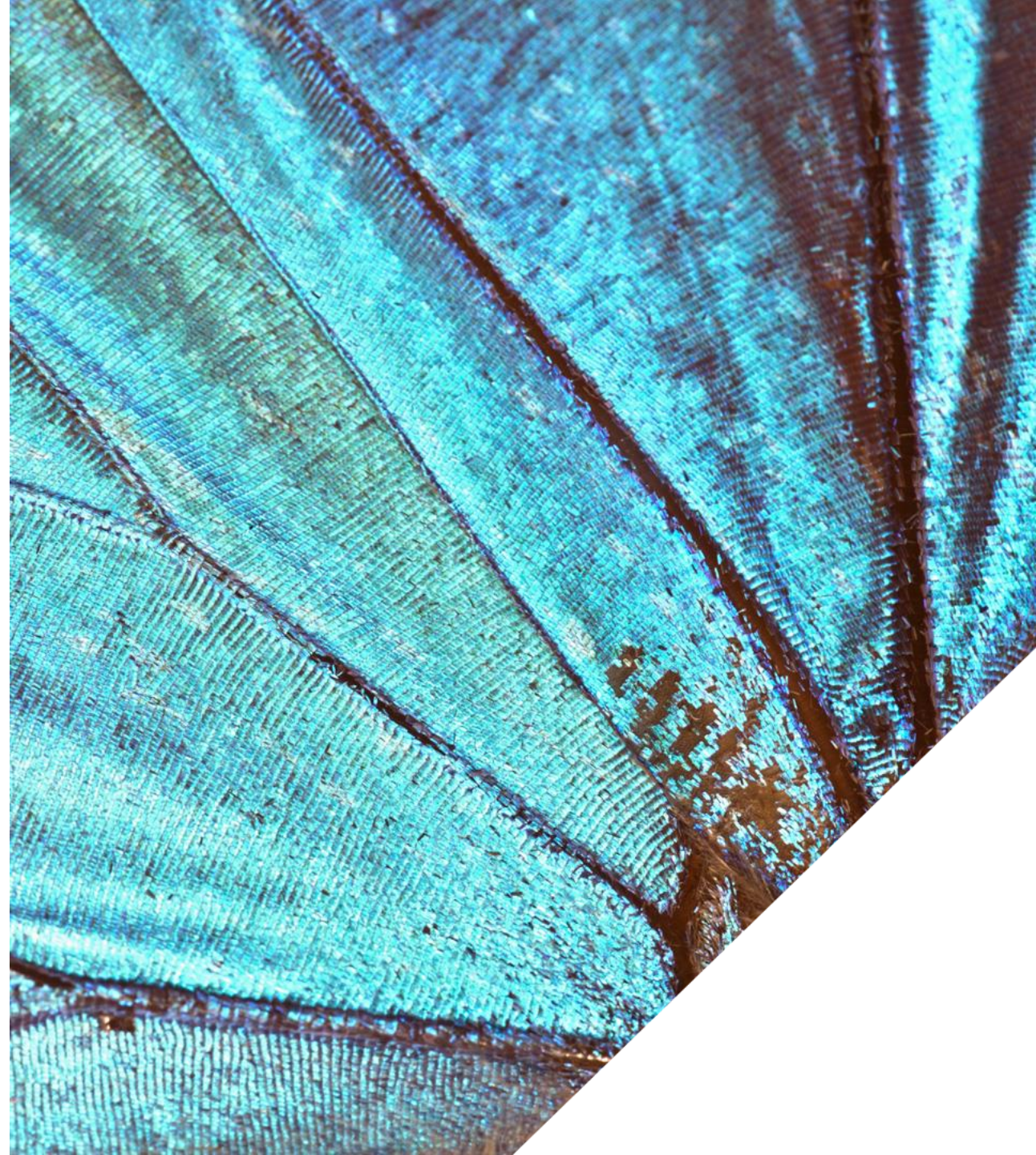
Break 11:35 – 11:50

Session 2: Jisc and research sector presentations and Q&A

Lunch 12:45 – 13:30

Session 3: Discussion sessions

Groups include: (1) Arts and humanities research; (2) Social science research; (3) Libraries and research support staff x 2; (4) General session x 2

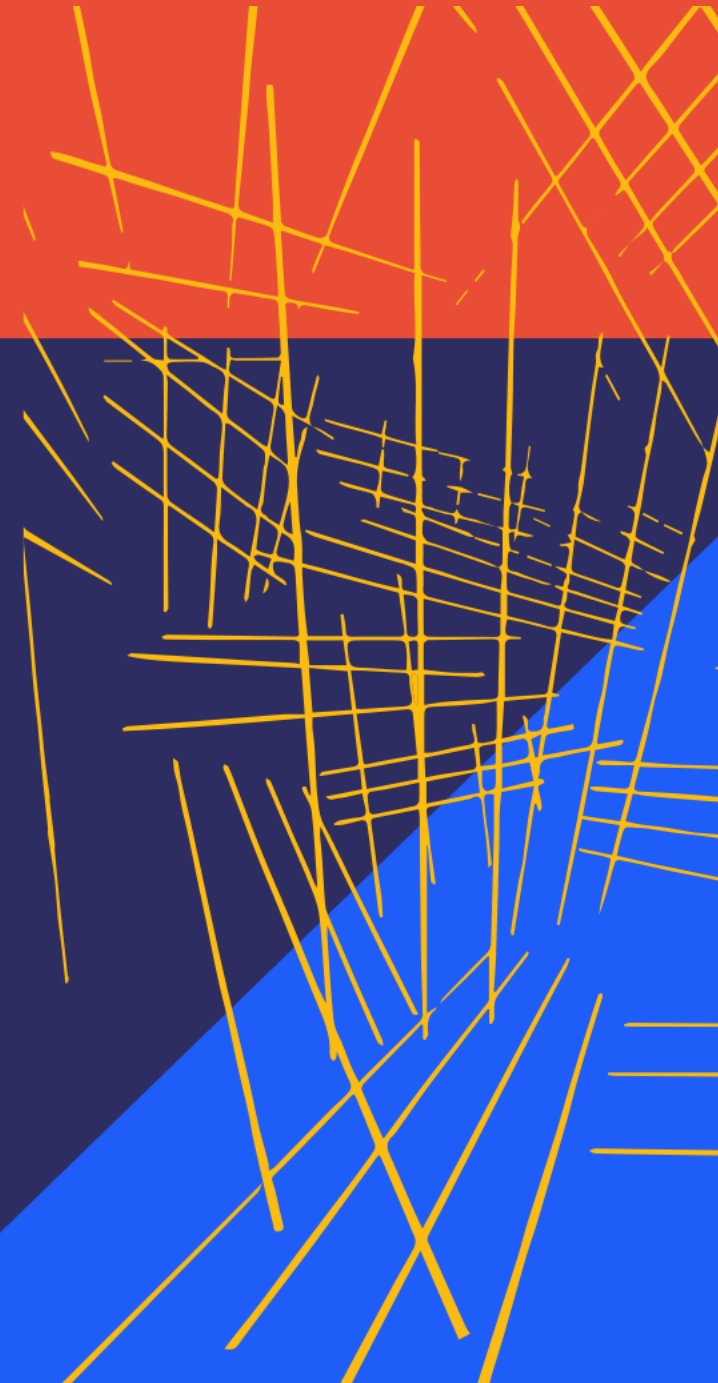




UK Research
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Background

Rachel Bruce, Head of UKRI Open Research





Open access – what is it & why do we support it?

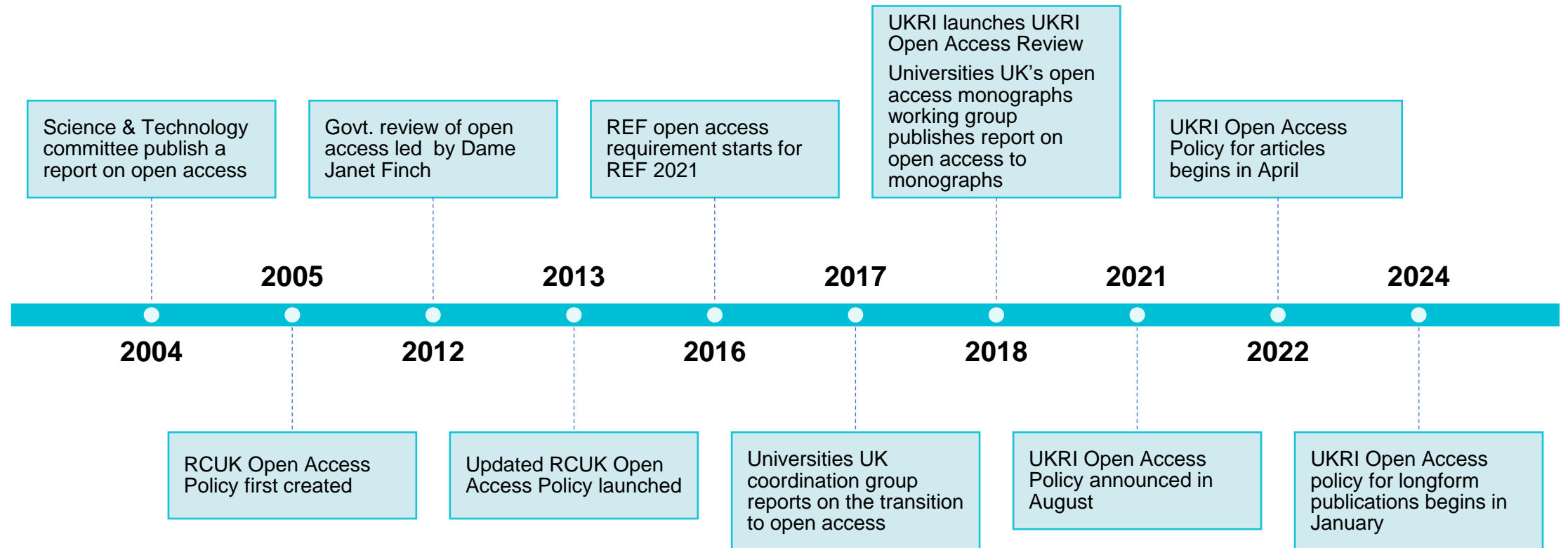
Open access makes outputs of research widely and freely accessible under conditions that allow maximum reuse. It is a key priority for UKRI (and for research funders around the world)

Benefits of open access include:

- increasing opportunity for researchers, practitioners and others to access, use and build on research funded by the public
- improving the reach and potential impact of research findings
- research efficiency, quality, integrity and trust by facilitating collaborative, transparent and reproducible research practices
- ensuring access to publicly funded research which delivers value for money for taxpayers who have funded the research



A potted history of open access policy in the UK



Government commitment to open research

The public has the right to expect that research and innovation supported by the public purse has the maximum possible impact

“Crucially, we must embrace the potential of open research practices. First, we will require that research outputs funded by the UK government are freely available to the taxpayer who funds research. Such open publication will also ensure that UK research is cited and built on all over the world. We will mandate open publication and strongly incentivise open data sharing where appropriate, so that reproducibility is enabled, and knowledge is shared and spread collaboratively.”

[UK Research and Development Roadmap - GOV.UK \(www.gov.uk\)](https://www.gov.uk/government/consultations/uk-research-and-development-roadmap)

“...incentivising best practice as part of the wider open research ambition UKRI will be publishing an updated open access policy later this summer, aimed at making the outputs of UKRI-funded research freely and immediately accessible. This is part of wider UKRI work to keep the UK at the forefront on research integrity through open research that is accessible, transparent, cooperative and reproducible which are integral to a healthy research culture and environment.”

[R&D People and Culture Strategy \(publishing.service.gov.uk\)](https://publishing.service.gov.uk/government/consultations/r-d-people-and-culture-strategy)

UKRI Open Access Review: Consultation

Published: 13 February 2020

Updated: 25 March 2020

Open Access Review 2018 - 2021

- In 2018, UKRI launched its Open Access Review
- Aims of the review: immediate Open Access for research articles, introducing an open access requirement for longform publications; affordability, value for money; alignment across UKRI, UK and internationally.
- The Review process included:
 - Extensive engagement with stakeholders via roundtables and workshops
 - Evidence-gathering and analysis
 - Public consultation
 - Independent economic assessment
- Further information: [Implementing our open access policy – UKRI](#)



A phased approach to implementing our policy for longform publications

- The policy applies from January 2024, however since the open access requirement for monographs, book chapters, and edited collections is an entirely new one, the first nine months of the policy will be a bedding in period.
- During this phase we will be looking at how the policy, systems and processes are working, but we will not be monitoring for compliance and taking any related actions. The policy and systems to work with UKRI in line with the policy will all be live, for example UKRI's central funding mechanism.
- If you are a researcher negotiating publishing contracts, from January 2024 you should ensure these contracts support open access publication.



UK Research
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UKRI Open Access Policy for monographs, book chapters, and edited collections



What the policy applies to

Monographs, book chapters and edited collections published from 1 January 2024 and that need to acknowledge UKRI funding

- Unless a contract has been signed between the author and the publisher before this date that prevents adherence to the policy. However, UKRI strongly encourages authors and publishers to make publications open access.
- In-scope and out-of-scope long-form outputs are detailed at [Annex 1 in the policy](#).

Open access within 12 months of publication

The final **Version of Record or Author's Accepted Manuscript** must be free to view and download via an online publication platform, publishers' website, or institutional or subject repository **within a maximum of 12 months of publication**, with a Creative Commons licence.

- The open access version should include, where possible, any images, illustrations, tables and other supporting content.
- Where an Author's Accepted Manuscript is deposited, it should be clear that this is not the final published version.

Use an open licence

- A Creative Commons Attribution (CC BY) licence is preferred for the open access version to maximise opportunities for sharing and reuse.
- Any Creative Commons licence is permitted.
- The Open Government Licence is permitted, if your organisation requires this.
- UKRI's requirements do not apply to third-party materials such as images, which can be included under a more restrictive licence or terms under which its use is permitted by the rightsholder, for example on an 'all rights reserved' basis.

See more information on

- [Publishing under the UKRI open access policy: copyright and Creative Commons licences – Jisc](#)
- [Guidance on managing third-party copyright under UKRI open access policy](#)

Self-archiving

- If complying with the policy by depositing a version of your publication in a repository, you can use your institution's repository, if they have one, or an appropriate subject repository. **Find available repositories using OpenDOAR.**
- If you are depositing an Author's Accepted Manuscript, this should be marked as not the final published version, which you may also choose to link to.
- Your research organisation may have a self-archiving policy or arrangement. You should check with your library, research office, or equivalent to find out more. You should check your publisher's self-archiving policy

Policy Exemptions

We expect it to be possible to make most in-scope publications open access. However, there are some specific exemptions, where after due consideration it is determined it would not be possible to make an output open access:

- Where the only appropriate publisher, after liaison and consideration, is unable to offer an open access option that complies with UKRI's policy.
- Where reuse permissions for third-party materials cannot be obtained and there is no suitable alternative option available to enable open access publication.

Long-form outputs arising from UKRI Training Grants are exempt from the requirements, recognising publication may occur sometime beyond the lifetime of a training grant.

- UKRI expects research organisations to support early career researchers to make long-form outputs open access, where possible.
- UKRI's open access requirements for theses still apply, as outlined in [Training Grant terms and conditions](#).

Using the exemptions

- The process for applying exemptions is **self-managed** and **trust-based**
- UKRI provides guidance on the permitted exemption, and we expect authors to consider this guidance and work with their organisation to decide
- Authors and their organisations do not need to seek approval from UKRI when making a decision and exercising discretion
- We ask that authors notify UKRI if they are using an exemption for their publication. This will be via a simple process which will be available from January 2024.

Using an exemption because...

...the **only appropriate publisher**, after liaison and consideration, is unable to offer an open access option that complies with UKRI's policy

...reuse permissions for **third-party materials cannot be obtained** and there is **no suitable alternative option** available to enable open access publication

...the publication is a **trade book**

...the output arises from **UKRI Training Grants**

...a **contract was signed before 1 January 2024 that prevents compliance** with the policy

Authors and their organisations should...

- consider the guidance at Annex 3 of UKRI's policy document
- seek open access options from the publisher before relying on an exemption
- **apply the exemption as a last resort**

- consider the guidance at Annex 3 of UKRI's policy document
- **apply the exemption as a last resort**

- consider the guidance at Annex 1 of UKRI's policy document

Authors should tell their organisation if their publisher is treating their monograph or edited collection as a trade book.

...note that this is a **blanket exemption** in the policy. Open access is encouraged.

...note that this is a **blanket exemption** in the policy. Open access is encouraged.

Seven-year threshold

- During our stakeholder engagement on the implementation of our policy, we heard that publications may arise many years after the end of a UKRI funded project and over time the link to UKRI funding may not be substantial.
- We have considered when might be a reasonable time-scale for an output to be considered in scope of the fund. As a result, we are introducing a seven-year threshold. This means that if a monograph, book chapter, or edited collection is published seven or more years after the formal end of a UKRI funded project, then it will no longer be eligible for support via UKRI's fund, and therefore we do not expect the publication to be open access.
- We will be flexible in the event of extenuating circumstances and where equality, diversity, and inclusion issues result in delays to a publication.

Inputs to our implementation approach

We have a very consultative approach, and we gather many data points and evidence to inform any decisions we make.



**PUBLIC CONSULTATION
IN 2020**



**ROUNDTABLES AND
WORKSHOPS WITH
STAKEHOLDERS**



**ESTABLISHED AND
CONVENED A
STAKEHOLDER FORUM**



**PARTICIPATE IN
SECTOR EVENTS**



**WORKING WITH
SECTOR BODIES, SUCH
AS JISC**



**DIRECT FEEDBACK
AND QUERIES**



**EVIDENCE GATHERING,
ANALYSIS, AND
TESTING OUR
APPROACH**

Guidance and resources

Together with colleagues across UKRI and external experts, we have developed resources and guidance to support authors and their organisations to follow the policy. Some of these have been published on our website:

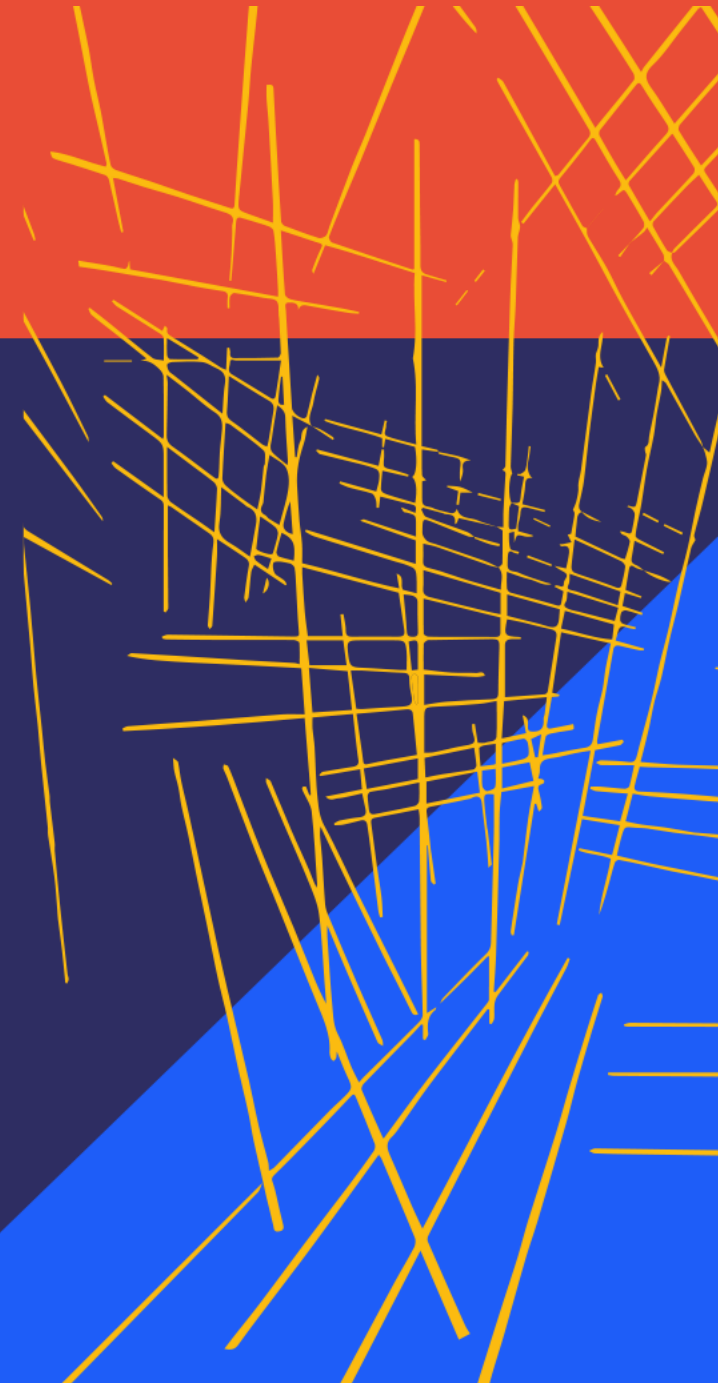
- [Policy document and a supplementary FAQ](#)
- [Guidance for authors](#) including a new [guide on managing third-party copyright](#)
- [Information pack for research organisations to use to engage researchers](#)
- [Information on funding and how to apply to our dedicated fund](#)
- [Guidance and resources on open research](#) on the Good Research Resource Hub



UK Research
and Innovation

Open access funding for longform publications

Tahia Zaidi, UKRI Open Research



Open access funding

- UKRI is providing a ring-fenced £3.5 million fund dedicated to **supporting open access for long-form outputs in-scope of our policy.**
- It will be centrally held by UKRI.
- Research organisations can apply for this funding from the end of November 2023.



What can UKRI's fund be used for?

The fund will support a variety of open access publishing models that result in **immediate open access with any Creative Commons licence**.

Maximum funding levels will apply as follows:

Types of open access costs supported by the fund	Maximum funding available (inclusive of VAT, where applicable)
Book processing charges (BPCs)	£10,000
Chapter processing charges	£1,000
Diamond / non-BPC open access models	£6,000 for the first output <i>An additional £3,000 may be claimed if two or more UKRI publications from your organisation are being published open access under the collection</i>

For monographs and edited collections, authors/organisations may claim up to £2,000 from the above funding limits to clear rights for third party materials if needed. However, we would usually expect these costs to be included in research grants.

How to use UKRI's fund for diamond and non-BPC models

Model	Description	How UKRI's fund can support these models
Freemium / Library Membership	A version of the e-book is made open access or freely available at no charge to the author; the free access is subsidised by other revenue sources, such as sales of other e-formats, print sales, and/or library membership fees	The organisation can claim from UKRI for the cost of library membership or acquisition, up to the maximum permitted limit
Institutional subsidy / New University Presses (NUP)	An institution subsidises publication at an open access press based at or associated with the institution. Fees may not apply or may be discounted; academics based at the institution may receive additional discounts or fee waivers.	The organisation claim from UKRI for the costs charged for the publication from UKRI, up to the maximum permitted limit
Library consortium ("Institutional crowdfunding")	Libraries pledge a fee towards making a collection of books open access, covering some or all of the costs between them. Once enough libraries have confirmed participation and the target amount is achieved, the collection is made open access.	The organisation can claim from UKRI for the cost of participating in the consortium, up to the maximum permitted limit
Subscribe to Open	Libraries subscribe to or purchase specified collections of closed-access books, which may include backlist titles. The subscription fees are used to fund open access for newly published books.	The organisation claim from UKRI for the cost of subscription, up to the maximum permitted limit

A two-stage process

- **Stage 1:** The research organisation **registers** an output with UKRI for funding. UKRI processes the application and confirms to the research organisation if the output is eligible for funding.
 - An output that is not eligible for funding is either out of scope of the open access policy, or eligible to be supported by the open access block grant.
 - The purpose of Stage 1 is to give the author and the research organisation assurance before a legal contract is signed that the output is eligible to be supported.
- **Stage 2:** The research organisation **supplies evidence** that the publication is available open access and provides payment information. UKRI processes this information and releases the funds.
 - The purpose of Stage 2 is the receipt of final confirmation of publication to allow the release of funds
- An organisation can collate multiple publications into Stage 1 and Stage 2 applications if they choose to.
- **UKRI will award funds bi-annually.**

Nov. 2023



Stage 1 opens for applications

Jan. 2024



Stage 2 opens for applications

Jun. 2024



Funds awarded.

Notional deadline for submitting Stage 2 applications for the Jun payment run will be in Mar 2024

Dec. 2024



Funds awarded.

Notional deadline for submitting Stage 2 applications for the Dec payment run will be in Sep 2024

How to apply?

A research organisation must make the application to UKRI on behalf of the author(s) via the library or research office, or equivalent.

At **Stage 1** this will be via a webform on the [Citizen Space](#)

- This will be **open November 2023**. We have opted for Citizen Space as it is the most user-friendly option.

At **Stage 2** this will be via the [Funding Service](#)

- This will **open in January 2024**. This system ensures a link to the payment system, which is the key purpose at this stage.

In extenuating circumstances, e.g. where there isn't a UK based research organisation, the author should contact UKRI via email for advice on the process.

Registering outputs at Stage 1

- Form has been created in Citizen Space
- Well-used across UKRI for surveys and engagement
- Stage 1 will open in Citizen Space at the end of November 2023
- The aim was to create a relatively simple form that was easy for organisations to complete
- We will be running two webinars before the end of November to take organisations through the Stage 1 form and process

Register long-form publications with UKRI (Stage 1)

Overview

Closes 3 Nov 2025

This is the Stage 1 form that organisations should use to register longform outputs with UKRI, where those outputs are in scope of UKRI's [Open Access policy](#) and where the intention is to claim funding from UKRI's dedicated fund to support longform publication costs.

Please do not complete this form if you are a UKRI-funded author. If you are a UKRI-funded author, you should not submit publication details directly to UKRI. Please liaise with the relevant teams at your organisation (who may be your Library or Open Access team, or your Research Office) who will make the submission on your behalf.

If you are a UKRI-funded author who is seeking support from the ring-fenced fund but you are not currently linked to a UK-based research organisation, then please contact openresearch@ukri.org for guidance.

If you are not a UKRI-funded author, then unfortunately we cannot offer any financial support for your publication. Please speak to the relevant teams at your research organisation for guidance.

Further details about our Open Access policy can be found [on our website](#). We also have information about [making your monograph, book chapter or edited collection open access](#), [how to acknowledge your UKRI funding](#) and our [Open Access funding and reporting](#).

If you have any questions about the policy or the eligibility of an output for support through UKRI's ring-fenced fund, then please email openresearch@ukri.org.

Contact

UKRI Open Research
openresearch@ukri.org

Register publications with UKRI (Stage 1)

[Register publications \(Stage 1\) >](#)

Register long-form publications with UKRI (Stage 1)

Closes 3 Nov 2025

This service needs [cookies enabled](#).

Introduction

This is the Stage 1 form, where you can register longform outputs with UKRI to gain an indication of whether a publication is eligible to be supported by UKRI's ring-fenced fund.

Please read these guidance notes before submitting details of publications to UKRI. If you have any questions about this form, or about the potential eligibility of any publication, then please contact openresearch@ukri.org.

Who should register an output with UKRI?

All submissions to register an output with UKRI should be submitted by a relevant person or team at a research organisation. **Authors should not register an output with UKRI directly**, but should liaise with the relevant team within their organisation (who may be your Library or Open Access team, or your Research Office) who will register the output with UKRI on their behalf.

Register long-form publications with UKRI (Stage 1)

Closes 3 Nov 2025

This service needs [cookies enabled](#).

Publication 1

On this page, you will be asked to share the details of a publication that you wish to register with UKRI.

Further details about each question can be found by clicking on the 'more information' drop-down under each section.

5. What type of publication are you registering with UKRI?

▶ [More information](#)

(Required)

- A monograph or edited collection
- A book chapter

6. UKRI funding reference (e.g., ES/X000000/1)

▶ [More information](#)

(Required)

7. Author name(s)

▶ [More information](#)

(Required)

There is a limit of 100 characters

Almost done...

You are about to submit your response. By clicking 'Submit Response' you give us permission to analyse and include your response in our results. After you click Submit, you will no longer be able to go back and change any of your answers.

You have the option of providing your email address below in order to receive confirmation of your response submission. Any email address entered on this page is not saved as part of your responses data. It is simply used to send the acknowledgement email. This is so your response can remain anonymous.

If you provide an email address you will be sent a receipt and a link to a PDF copy of your response.

Email address

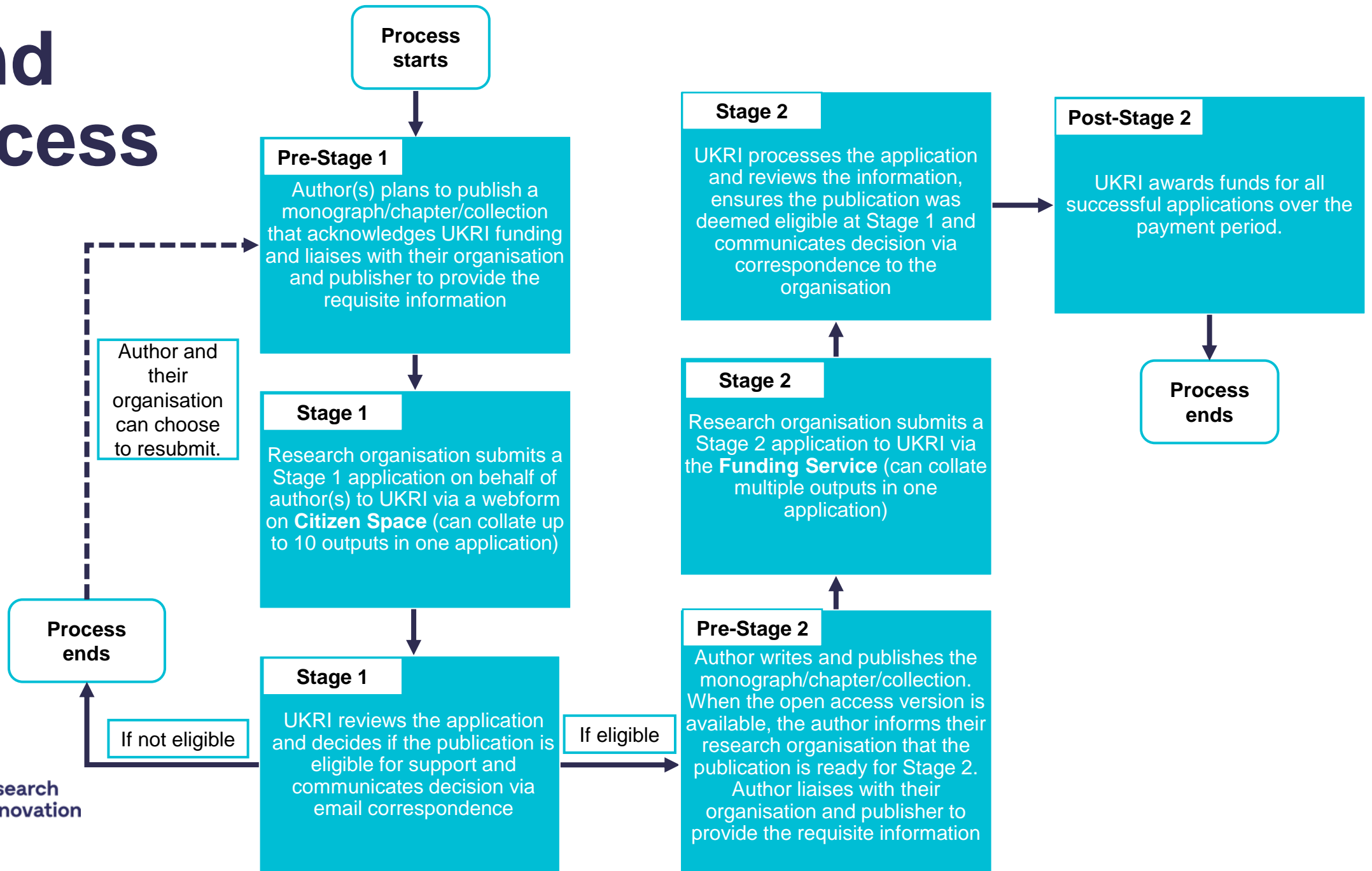
Your response has been submitted

Your response ID is XXXX-XXXX-XXXX-X. Please have this ID available if you need to contact us about your response.

A receipt for your response has been emailed to you from the address ukri@mail1.citizenspace.com with the subject "Response received - Response ID: XXXX-XXXX-XXXX-X". If it doesn't appear in your inbox within a couple of minutes, please check your "spam" or "junk" folder.

Please make a note of your response ID as you will need this when making a Stage 2 application for any of the publications included in this submission.

Fund process



Information requested at Stage 1

Monographs and edited collections

UKRI funding reference

Author name(s)

Title of publication (draft)

Name of publisher (if known)

Estimated open access costs requested from UKRI (if known; inc. VAT)

Anticipated date of publication

Statement about relationship to the UKRI funded project or grant, including the author's role in this

Additional comments & administrative information

Book chapters

UKRI funding reference

Author name(s)

Title of book chapter (draft)

Name(s) of editors of the collection where the chapter will be published

Title of the edited collection where the chapter will be published (draft)

Name of publisher (if known)

Estimated open access costs requested from UKRI (if known; inc. VAT)

Anticipated date of publication

Statement about relationship to the UKRI funded project or grant, including the author's role in this

Additional comments & administrative information

Information requested at Stage 2

Monographs and edited collections

Stage 1 reference

UKRI funding reference

Author name(s)

Title of publication

Name of publisher

Date of publication

URL for the open access version

Open access costs requested from UKRI (inc. VAT)

Total open access costs (inc. VAT)

Any changes to the information provided at Stage 1

Optional metadata fields (ISBN, DOI, ORCID) & administrative checks

Book chapters

UKRI funding reference

Author name(s)

Title of book chapter

Name(s) of editors of the collection where the chapter will be published

Title of the edited collection where the chapter will be published

Name of publisher

Open access costs requested from UKRI (inc. VAT)

Total open access costs (inc. VAT)

Date of publication

Any changes to the information provided at Stage 1

Optional metadata fields (ISBN, DOI, ORCID) & administrative checks



UK Research
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Thank you

For any queries about UKRI's work on open access and open research please contact the team at openresearch@ukri.org



@UKRI_news



UK Research and Innovation



UK Research and Innovation

Supporting the UKRI open access policy for longform publications

8 November 2023

Caroline Mackay, Licensing manager, Jisc

Anna Hughes, Scholarly communications & engagement officer, Jisc

2022-24
Objectives
Achievements
Engagement

How Jisc is supporting the research community to implement the UK Research and Innovation (UKRI) open access policy

- Rapidly scaling up sustainable, affordable open access arrangements
- Gathering and analysing data to monitor and evaluate the performance of transitional arrangements to enable our members, funders and publishers to understand the progress and impact of these arrangements. Providing tools for authors and research organisations to navigate available open access options.
- Working with our members, UKRI, publishers - including a diversity of research organisations - and representative bodies
 - a) to develop an understanding of the challenges around open access,
 - b) to share and develop best practice,
 - c) to deliver support and equipping our members with tools, guidance and publishing options in readiness for the commencement of the open access monographs policy

Open Access book models

Book Processing Charge (BPC) - fee to publish your book immediately open access

Diamond Open Access – free to read, free to publish. Financially supported by libraries and institutions

- Purchase to Open – library supports and gains access to paywalled content on leased or perpetual basis. Funding supports immediate OA publication of new content.
- Library Supporter Memberships – born OA presses. Financial support allows publication of new OA content.

Flip it Open – Published behind a paywall. Once target threshold met, the book will flip to OA. No guarantee this will happen within 12 months.

Green Self Archiving – many publishers allow self-archiving of one chapter within 12 months – UKRI compliant.

Purchase to Open models	Library Membership
<p>Bloomsbury Open Collections</p> <p>Central European University Press Opening the Future</p> <p>De Gruyter OA Purchase to Open e-book Pilot</p> <p>JSTOR Path to Open</p> <p>Liverpool University Press Opening the Future</p> <p>MIT Press Direct to Open Supporter Membership</p> <p>Peter Lang Open Greenlight OA Monograph Pilot</p> <p>Taylor & Francis Pledge to Open</p> <p>University of Michigan Press ebook Collection Fund to Mission</p>	<p>Lever Press</p> <p>Open Book Publishers Supporter Membership</p> <p>OpenUP ECR Monograph initiative</p> <p>Punctum Books Open Access Supporter Membership</p> <p>University of London Press - New Historical Perspectives</p> <p>University of London Press - Human Rights & Social Justice titles</p> <p>U Westminster Press Critical, Digital and Social Media Studies (CDSMS) Series</p> <p>U Westminster Press Cultural China Series</p> <p>White Rose University Press Diamond OA Monographs</p> <p>White Rose University Press External Author Programme</p>

Our recommendations

Authors

Talk to your publisher about how you will publish compliantly

Ask if they offer alternatives to BPCs

If so, ask if your library supports their Diamond initiative and if not recommend that they do

Libraries

How can your current institutional budgets support diamond agreements, noting they will be based on subject collections.

If your UKRI funded author is published via a Diamond initiative, you can reclaim your supporting fee from the ring-fenced fund

Research management team

Familiarise yourself with the UKRI procedures for applying for monograph funding


Publicise internally so that researchers are aware

Supporting authors and research organisations to navigate available open access options

Sherpa services



[Visit our sherpa service site](#)

 help@jisc.ac.uk

Helping authors and institutions to make informed and confident decisions in open access publication and compliance.

[**SHERPAUSERS@JISMAIL.AC.UK**](mailto:SHERPAUSERS@JISMAIL.AC.UK)

<https://beta.jisc.ac.uk/innovation/projects/implementing-the-new-ukri-open-access-policy>

Welcome to the new look Sherpa Services

Our new site consolidates Sherpa Services ([Romeo](#), [Juliet](#), [Fact](#) and [OpenDOAR](#)) in to one handy tool, and brings you Open Access compliance and Transitional Agreement look-up tools.

Search across Sherpa Services

Search for a journal, publisher, funder or repository.

Search all

Open Access and Transitional Agreements

Open Access compliance

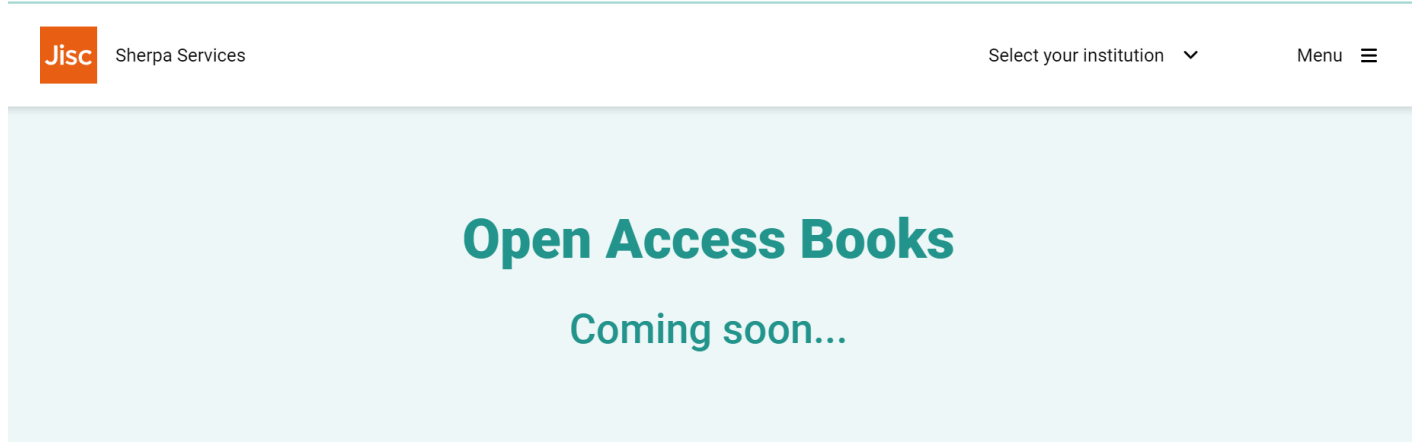
Get clear guidance on whether a journal complies with a funder's open access policies.

Transitional Agreement look-up

Find information on Transitional Agreements (TAs) held by institutions, and the journals listed within TAs.

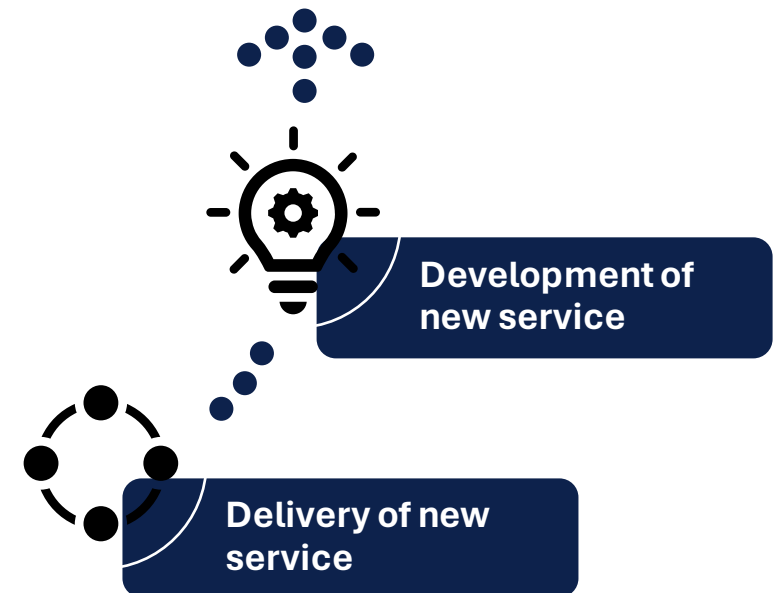
Supporting authors and research organisations to navigate available open access options

This release is under active development. [Help us to improve this product by contacting us](#)

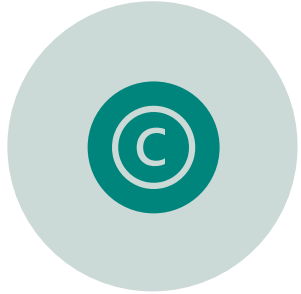


<https://beta.sherpa.ac.uk/oa-books>

<https://beta.jisc.ac.uk/innovation/projects/implementing-the-new-ukri-open-access-policy>



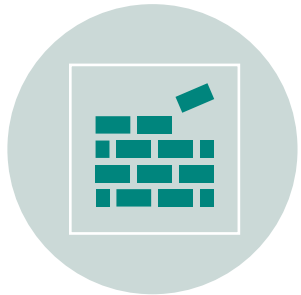
Delivering advice and practical support based on your feedback



[Publishing under the UKRI open access policy: copyright and Creative Commons licences](#)



[OAPEN OA Books toolkit](#)



[New university press toolkit](#)



Series of [short guides](#) on key OA concepts

Get involved



Convening and supporting communities – [the digital research community](#)



[Myth-busting webinar series](#) (1) Compliance vs. culture (2) Quality and prestige (3) Copyright and third-party rights clearance



Social media – X and LinkedIn, e-newsletters, blogs, website

[@jisc](#)

[Jisc Research](#)

[Headlines](#)



Collective funding models for Open Access books webinar series

(1) [publishers](#)

(2) [libraries](#)

(3) [infrastructure](#)



Supporting the UKRI OA policy implementation

<https://www.jisc.ac.uk/open-research/our-role-in-open-access>

4 Portwall Lane, Bristol,
BS1 6NB

help@jisc.ac.uk

[jisc.ac.uk](https://www.jisc.ac.uk)



Royal College of Art

Implementing longform open access at the RCA

8 November 2023



Royal College of Art
Postgraduate Art & Design

Tom Cridford, Scholarly Communications Librarian
Christie Walker, Head of Research Development

The Royal College of Art

Specialist Postgraduate Art and Design College

Three London campuses (Kensington, Battersea, White City)

Four Research Centres

Interdisciplinary research; Art, Design, Humanities and
STEM

Studio and practice based focus



Open Access at the RCA

Jointly managed by the Library and the Research & Knowledge Exchange Office

Practice outputs are predominate (e.g. approx. $\frac{2}{3}$ of RCA's REF2021 submission were out-of-scope for OA requirements)

Of those in-scope, mainly journal articles with some book chapters and longform publications

Current OA policy from 2019 reflects existing REF requirements and those of non-textual / practice based / physical research - could be updated



Training and Support for OA

OA support and training has focused primarily on journal publications, with longform OA increasing

Scholarly Comms Librarian:

- Policy pages and general guidance on the RCA intranet
- Awareness-raising talks with individual Schools/Centres/Departments
- Monthly Open Research topic drop-ins
- Joins handover meetings with newly funded UKRI project teams
- Publication schedules for UKRI-funded projects (largely dependent on researchers)
- One query so far sent to UKRI about an upcoming in-scope longform publication

Longform OA Funding



Support for third party content and licensing - this is tricky!

Piloting an internal OA fund for journal articles not funded by UKRI alongside the UKRI OA Block Grant

Considering a similar fund for longform publications, although the budget may not stretch far

Questions we still have

Small publishers; they don't always offer OA routes to publication but we don't want to narrow publishing landscape

Sherpa for books?

Covering BPCs up front

Representing non-traditional publications (formats, medium etc) digitally and effectively

Third party copyright; permissions, redactions, workflows and time

Thank you!

Feel free to contact us:

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Royal College of Art
Postgraduate Art & Design

SUPPORTING OPEN ACCESS MONOGRAPH PUBLISHING

LIVERPOOL'S
EXPERIENCE SO FAR

Wednesday 8th November

Matt Greenhall, Director of Libraries, Museums & Galleries

Martin Wolf, Head of Open Research



Libraries, Museums & Galleries



AREAS WE'LL COVER

1. Approach so far to supporting the publication of open access monographs
2. Specific work done to prepare for implementation of the UKRI long form publication policy
3. A few thoughts beyond the UKRI policy

APPROACHES SO FAR TO OPEN ACCESS MONOGRAPHS - 1

Two separate areas:

- “Acquiring” content and supporting OA monographs
- Directly supporting the OA publication of books authored by University of Liverpool researchers

APPROACHES SO FAR TO OPEN ACCESS MONOGRAPHS - 2

“Acquiring” content and supporting OA monographs

- Contributing to “unlocking” schemes where contents meet research needs
- Sponsorship / membership of relevant schemes and services, e.g. Open Book Publishers
- Primarily supported via standard acquisition budget

APPROACHES SO FAR TO OPEN ACCESS MONOGRAPHS - 3

Directly supporting the OA publication of books authored by University of Liverpool researchers

- Support for pilot projects, e.g. the OpenGOAL project with LUP
- Occasional support of individual OA books – mixture of budgets used
- Later decision to apply same criteria as for articles, namely funding fully gold OA models only
- The latter greatly reduces options for OA publication of monographs but is easily understandable

PREPARING FOR IMPLEMENTATION OF UKRI POLICY - 1

- Some initial misunderstandings regarding the need to include OA publication costs in grant applications now
- The development of institutional processes and clear promotional messages require further detail to be released
- Working analysis of the likely number of annual eligible items undertaken to estimate potential costs
- Successful case made for the creation of a “rolling fund” to cover estimated payments before reimbursement from UKRI

PREPARING FOR IMPLEMENTATION OF UKRI POLICY - 2

Issues that have to be addressed:

- Accommodating the *commitment* to pay invoices potentially years in advance of actual payment
- Building a communication plan that can't yet include the main message of how applications to the UKRI central fund will be made
- The extent to which authors will be prepared to publish with new Diamond OA platforms, and the scalability of such ventures
- Finding funds to cover costs if UKRI implements a strict cost limit

OA MONOGRAPHS MORE GENERALLY

- Like everyone, waiting to hear what OA requirements for monographs might be in place for the next REF (while assuring staff they won't be stricter than the UKRI requirements)
- Balancing use of funds to support platforms and initiatives that support *others'* work to be OA with supporting OA publishing by our own staff
- General consensus of wanting to avoid a BPC-dominated monograph landscape and opportunities to advocate for other models
- But are such charges more justified with monographs than with journal articles?



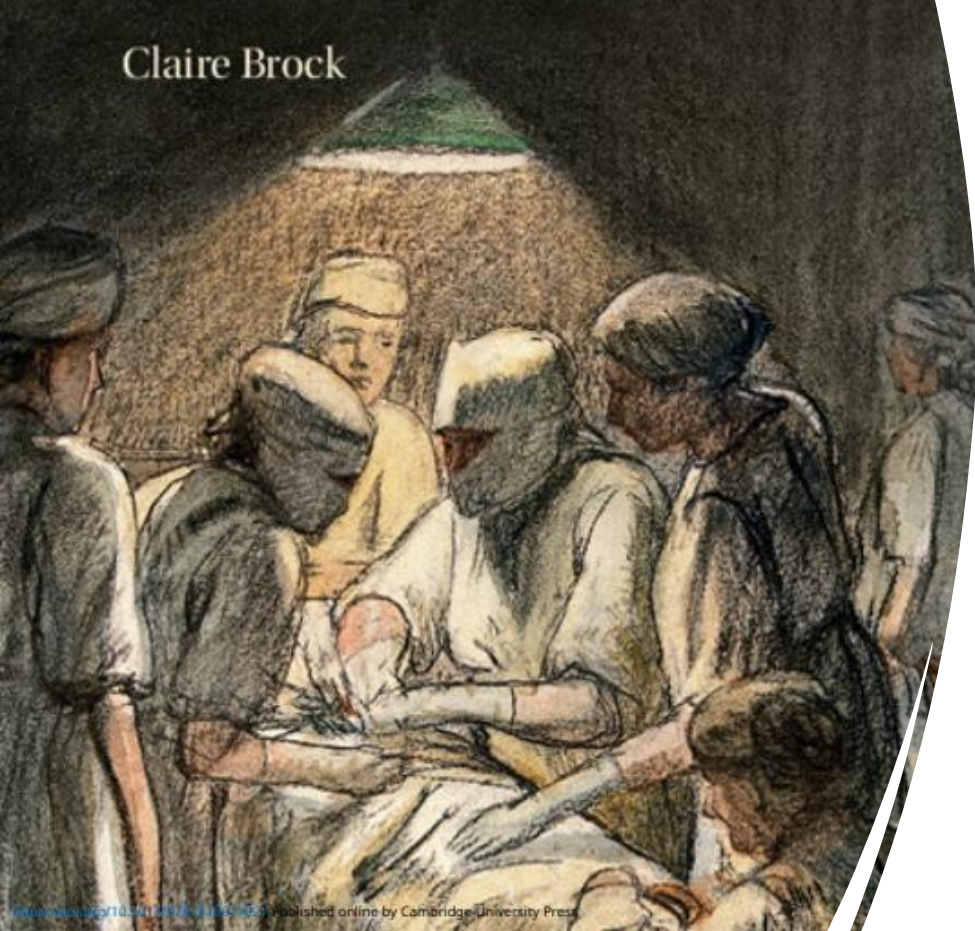
THANK YOU

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**British Women Surgeons
and their Patients,
1860–1918**

Claire Brock



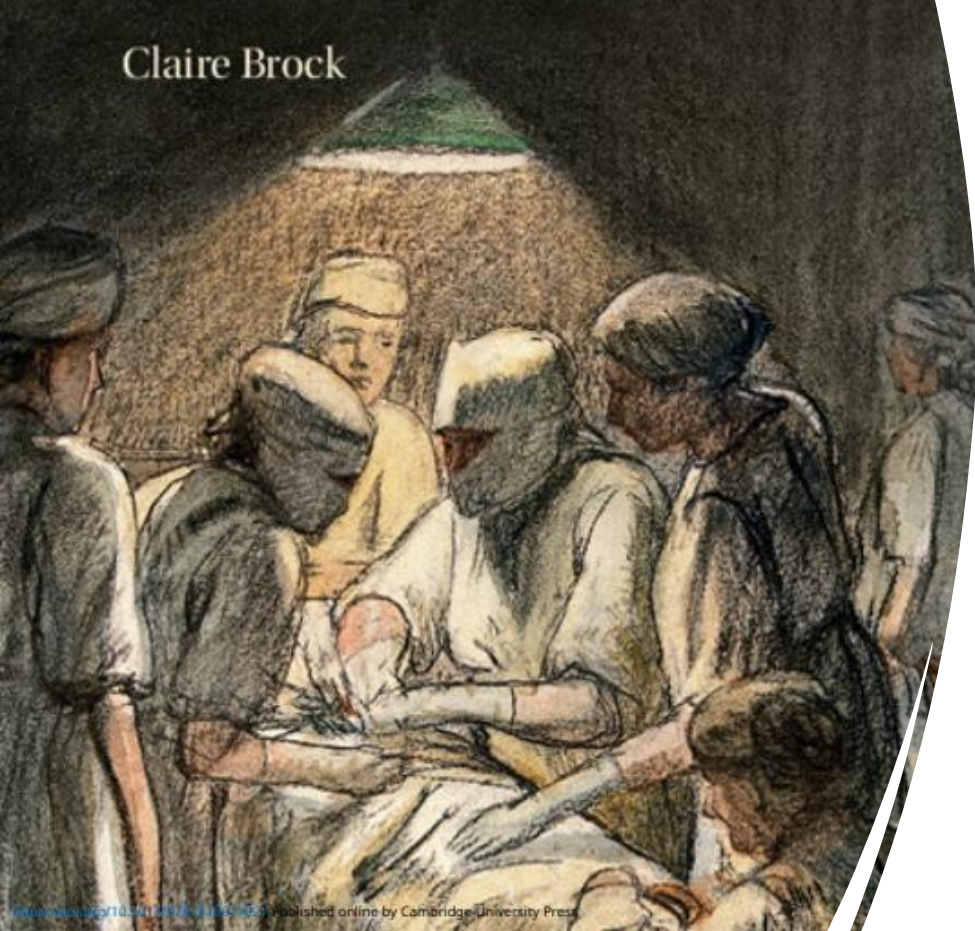
Dr Claire Brock, University of Leicester

- Funded by Wellcome Trust Research Leave Award (WT096499AIA: 2012-2014).
- Monograph completed 2015; submitted to Cambridge University Press.
- Open Access stipulation with acceptance of Wellcome funding, including monographs. Experience of already publishing an article Open Access during grant because of this.



British Women Surgeons and their Patients, 1860–1918

Claire Brock



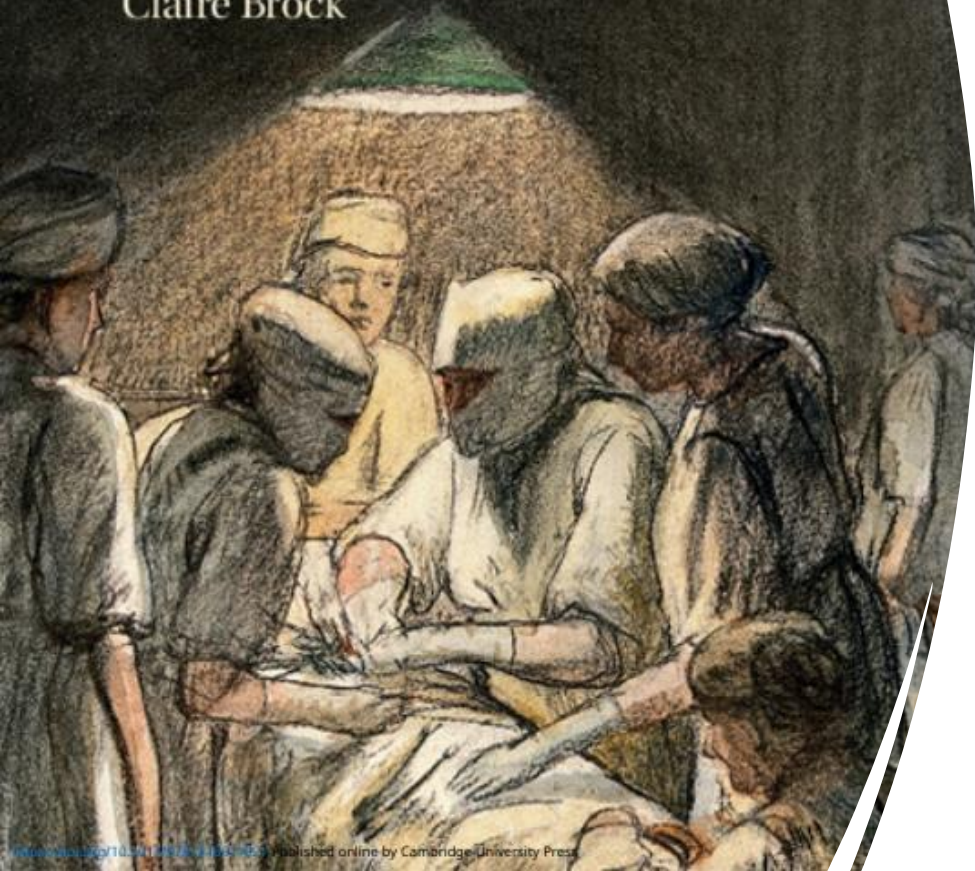
Benefits: Individual

- Publication in hardback February 2017 and Gold Open Access March 2017.
- Paperback November 2019.
- Nearly 13,000 full-text views November 2023.
- Worldwide reach (Twitter/X): Britain; EU; North America; South America; Africa; Australasia.
- Demographic: public (68%); scientists (11%); healthcare practitioners (10%); science communicators (10%).

Benefits: Institutional Research Environment

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- Described as a ‘notable example’ of Gold Open Access, ‘a major outcome’ which ‘enhanced quality, distinctiveness and visibility of research outputs’ in REF2021 Environment Statement.
- Public events – ‘interventions have helped make the general public and medical profession more conscious of how women fought for equality and contributed to medical and surgical developments’.